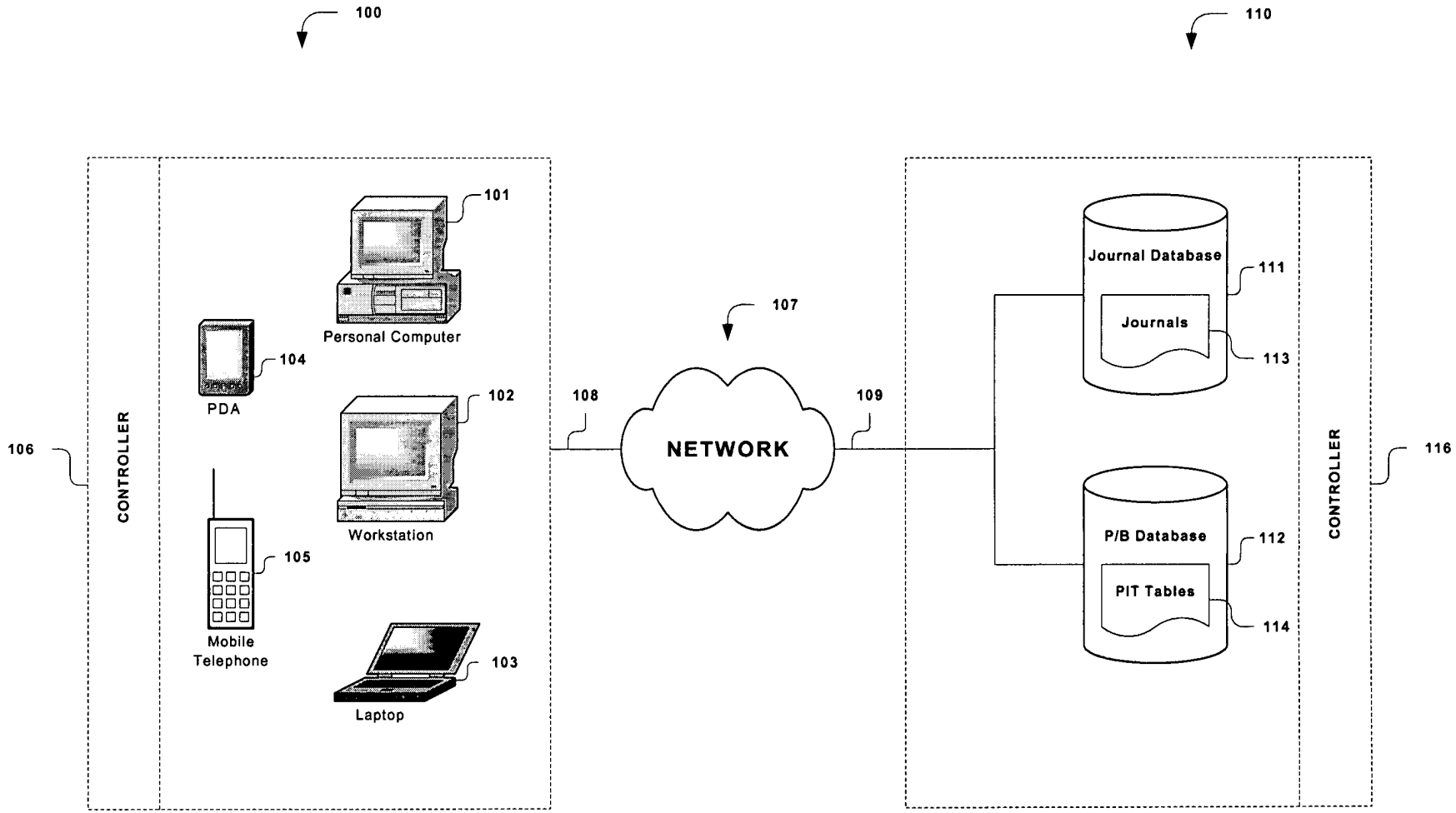

10
100
110
101
Personal Computer
104
PDA
102
Workstation
105
Mobile
Telephone
103
Laptop
CONTROLLER
106
107
108
NETWORK
109
Journal Database
111
Journals
113
P/B Database
112
PIT Tables
114
CONTROLLER
116

Fig. 1

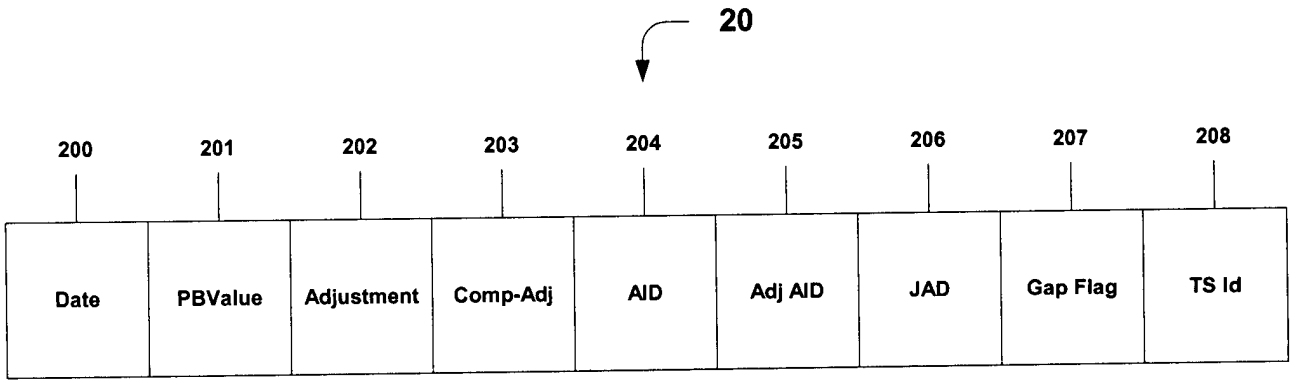

20
200
201
202
203
204
205
206
207
208
Date
PBValue
Adjustment
Comp-Adj
AID
Adj AID
JAD
Gap Flag
TS Id

FIG. 2

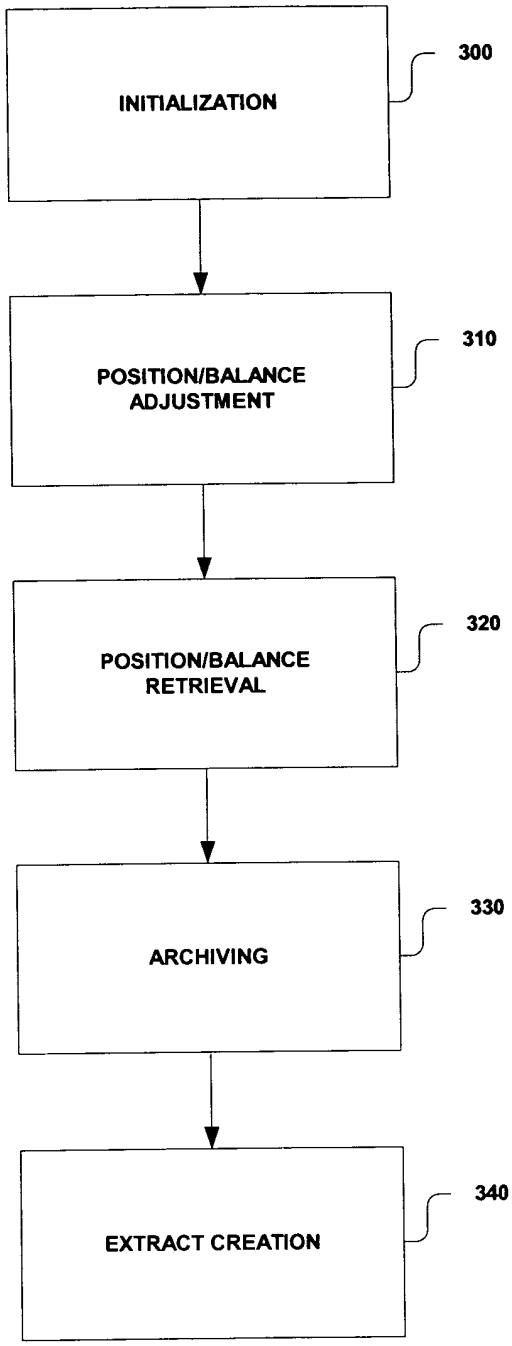

30
INITIALIZATION
300
POSITION/BALANCE ADJUSTMENT
310
POSITION/BALANCE RETRIEVAL
320
ARCHIVING
330
EXTRACT CREATION
340

FIG. 3

40

Initial State:							
Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
400 01/01/0001	100	0	0	09/10/02	01/01/0001	09/10/02	1
401 09/12/02	0	0	0	01/01/0001	01/01/0001	01/01/0001	0

Fig. 4

50a

After J1:							
Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
500 03/18/02	10	0	0	03/18/02	01/01/0001	03/18/02	0

Fig. 5A

50b

After J2:							
Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
500 03/18/02	30	0	0	03/18/02	01/01/0001	03/18/02	0

Fig. 5B

50c

After J3:							
Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
500 03/18/02	30	0	0	03/18/02	01/01/0001	03/18/02	0
501 03/25/02	5	0	0	03/25/02	01/01/0001	03/18/02	0

Fig. 5C

50d

	After J4:							
	Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
502	03/15/02	0	10	0	01/01/0001	03/20/02	01/01/0001	0
500	03/18/02	30	0	0	03/18/02	01/01/0001	03/18/02	0
503	03/20/02	10	0	-10	03/20/02	01/01/0001	03/20/02	0
501	03/25/02	5	0	0	03/25/02	01/01/0001	03/18/02	0

Fig. 5D

50e

	After J5:							
	Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
502	03/15/02	0	10	0	01/01/0001	03/20/02	01/01/0001	0
500	03/18/02	30	-5	0	03/18/02	03/20/02	03/18/02	0
503	03/20/02	5	0	-5	03/20/02	01/01/0001	03/20/02	0
501	03/25/02	5	0	0	03/25/02	01/01/0001	03/18/02	0

Fig. 5E

50f

	After J8:							
	Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
502	03/15/02	0	10	0	01/01/0001	03/20/02	01/01/0001	0
500	03/18/02	30	-5	0	03/18/02	03/20/02	03/18/02	0
503	03/20/02	5	0	-5	03/20/02	01/01/0001	03/20/02	0
504	03/22/02	10	0	0	03/22/02	01/01/0001	03/22/02	0
501	03/25/02	5	-5	0	03/25/02	04/02/02	03/18/02	0
505	04/02/02	-5	0	5	04/02/02	01/01/0001	04/02/02	0
506	04/25/02	10	0	0	04/25/02	01/01/0001	04/25/02	0

Fig. 5F

50g

	After A9:							
	Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
507	03/15/02	0	10	0	01/01/0001	03/20/02	01/01/0001	1
508	03/16/02	0	0	0	01/01/0001	01/01/0001	01/01/0001	0
500	03/18/02	30	-5	0	03/18/02	03/20/02	03/18/02	0
503	03/20/02	5	0	-5	03/20/02	01/01/0001	03/20/02	0
504	03/22/02	10	0	0	03/22/02	01/01/0001	03/22/02	0
501	03/25/02	5	-5	0	03/25/02	04/02/02	03/18/02	0
505	04/02/02	-5	0	5	04/02/02	01/01/0001	04/02/02	0
506	04/25/02	10	0	0	04/25/02	01/01/0001	04/25/02	0

Fig. 5G

50h

	After A10:							
	Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
507	03/15/02	0	10	0	01/01/0001	03/20/02	01/01/0001	1
509	03/17/02	0	0	0	01/01/0001	01/01/0001	01/01/0001	0
500	03/18/02	30	-5	0	03/18/02	03/20/02	03/18/02	0
503	03/20/02	5	0	-5	03/20/02	01/01/0001	03/20/02	0
504	03/22/02	10	0	0	03/22/02	01/01/0001	03/22/02	0
501	03/25/02	5	-5	0	03/25/02	04/02/02	03/18/02	0
505	04/02/02	-5	0	5	04/02/02	01/01/0001	04/02/02	0
506	04/25/02	10	0	0	04/25/02	01/01/0001	04/25/02	0

Fig. 5H

50i

	After A11:							
	Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
507	03/15/02	0	10	0	01/01/0001	03/20/02	01/01/0001	1
500	03/18/02	30	-5	0	03/18/02	03/20/02	03/18/02	0
503	03/20/02	5	0	-5	03/20/02	01/01/0001	03/20/02	0
504	03/22/02	10	0	0	03/22/02	01/01/0001	03/22/02	0
501	03/25/02	5	-5	0	03/25/02	04/02/02	03/18/02	0
505	04/02/02	-5	0	5	04/02/02	01/01/0001	04/02/02	0
506	04/25/02	10	0	0	04/25/02	01/01/0001	04/25/02	0

Fig. 5I

50j

	After A12:							
	Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
507	03/15/02	30	5	0	03/18/02	03/20/02	03/18/02	1
510	03/19/02	0	0	0	01/01/0001	01/01/0001	01/01/0001	0
503	03/20/02	5	0	-5	03/20/02	01/01/0001	03/20/02	0
504	03/22/02	10	0	0	03/22/02	01/01/0001	03/22/02	0
501	03/25/02	5	-5	0	03/25/02	04/02/02	03/18/02	0
505	04/02/02	-5	0	5	04/02/02	01/01/0001	04/02/02	0
506	04/25/02	10	0	0	04/25/02	01/01/0001	04/25/02	0

Fig. 5J

50k

	After A13:							
	Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
507	03/15/02	50	0	-5	03/25/02	04/02/02	03/22/02	1
511	03/31/02	0	0	0	01/01/0001	01/01/0001	01/01/0001	0
505	04/02/02	-5	0	5	04/02/02	01/01/0001	04/02/02	0
506	04/25/02	10	0	0	04/25/02	01/01/0001	04/25/02	0

Fig. 5K

50l

	After A14:							
	Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
507	03/15/02	50	0	-5	03/25/02	04/02/02	03/22/02	1
511	03/31/02	0	0	0	01/01/0001	01/01/0001	01/01/0001	0
512	04/02/02	-5	0	5	04/02/02	01/01/0001	04/02/02	1
513	04/03/02	0	0	0	01/01/0001	01/01/0001	01/01/0001	0
506	04/25/02	10	0	0	04/25/02	01/01/0001	04/25/02	0

Fig. 5L

50m

	After A15:							
	Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
507	03/15/02	50	0	-5	03/25/02	04/02/02	03/22/02	1
511	03/31/02	0	0	0	01/01/0001	01/01/0001	01/01/0001	0
512	04/02/02	5	0	5	04/25/02	01/01/0001	04/25/02	1
514	04/30/02	0	0	0	01/01/0001	01/01/0001	01/01/0001	0

Fig. 5M

50n

	After A16:							
	Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
507	03/15/02	50	0	-5	03/25/02	04/02/02	03/22/02	1
512	04/02/02	5	0	5	04/25/02	01/01/0001	04/25/02	1
514	04/30/02	0	0	0	01/01/0001	01/01/0001	01/01/0001	0

Fig. 5N

50o

	After M17:							
	Date	PBValue	Adjustment	Comp-Adj	AID	Adj AID	JAD	Gap Flag
507	03/15/02	55	0	0	04/25/02	04/02/02	04/25/02	1
514	04/30/02	0	0	0	01/01/0001	01/01/0001	01/01/0001	0

Fig. 5O

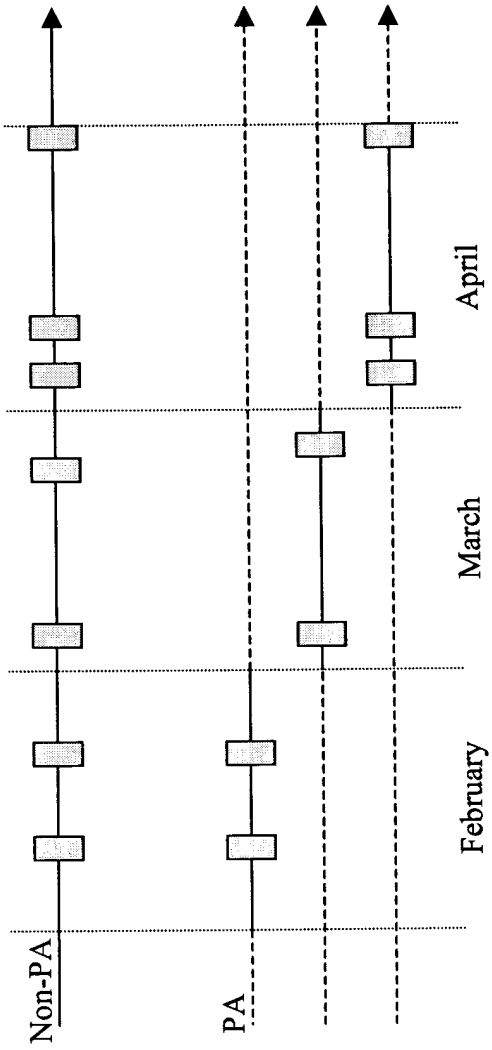
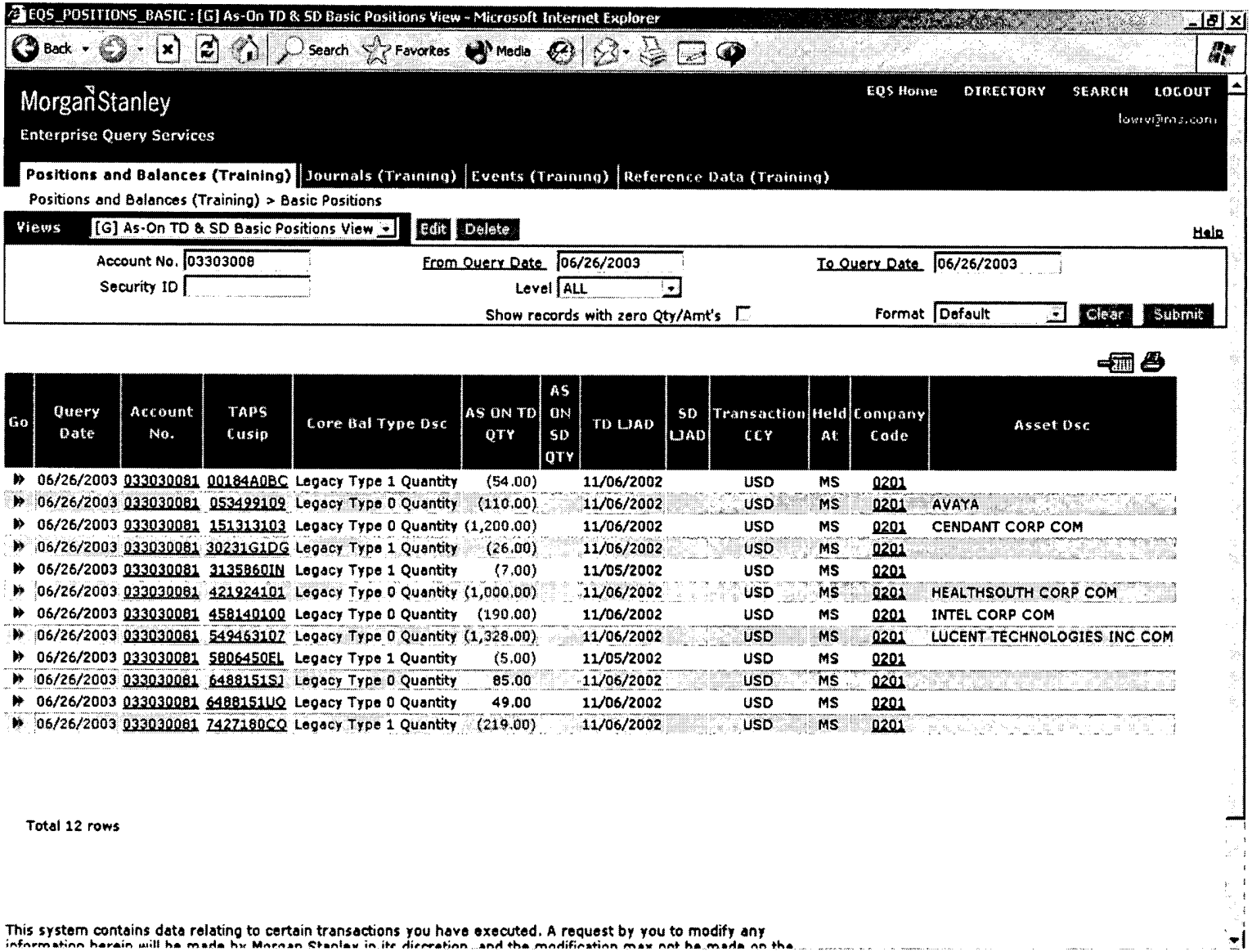


Fig. 6



Go	Query Date	Account No.	TAPS Cusip	Core Bal Type Dsc	AS ON TD QTY	AS ON SD QTY	TD LJAD	SD LJAD	Transaction CCY	Held At	Company Code	Asset Dsc
	06/26/2003	033030081	00184A0BC	Legacy Type 1 Quantity	(54.00)		11/06/2002		USD	MS	0201	
	06/26/2003	033030081	053499109	Legacy Type 0 Quantity	(110.00)		11/06/2002		USD	MS	0201	AVAYA
	06/26/2003	033030081	151313103	Legacy Type 0 Quantity	(1,200.00)		11/06/2002		USD	MS	0201	CENDANT CORP COM
	06/26/2003	033030081	30231G1DG	Legacy Type 1 Quantity	(26.00)		11/06/2002		USD	MS	0201	
	06/26/2003	033030081	3135860IN	Legacy Type 1 Quantity	(7.00)		11/05/2002		USD	MS	0201	
	06/26/2003	033030081	421924101	Legacy Type 0 Quantity	(1,000.00)		11/06/2002		USD	MS	0201	HEALTHSOUTH CORP COM
	06/26/2003	033030081	458140100	Legacy Type 0 Quantity	(190.00)		11/06/2002		USD	MS	0201	INTEL CORP COM
	06/26/2003	033030081	549463107	Legacy Type 0 Quantity	(1,328.00)		11/06/2002		USD	MS	0201	LUCENT TECHNOLOGIES INC COM
	06/26/2003	033030081	5806450EL	Legacy Type 1 Quantity	(5.00)		11/05/2002		USD	MS	0201	
	06/26/2003	033030081	6488151SJ	Legacy Type 0 Quantity	85.00		11/06/2002		USD	MS	0201	
	06/26/2003	033030081	6488151UQ	Legacy Type 0 Quantity	49.00		11/06/2002		USD	MS	0201	
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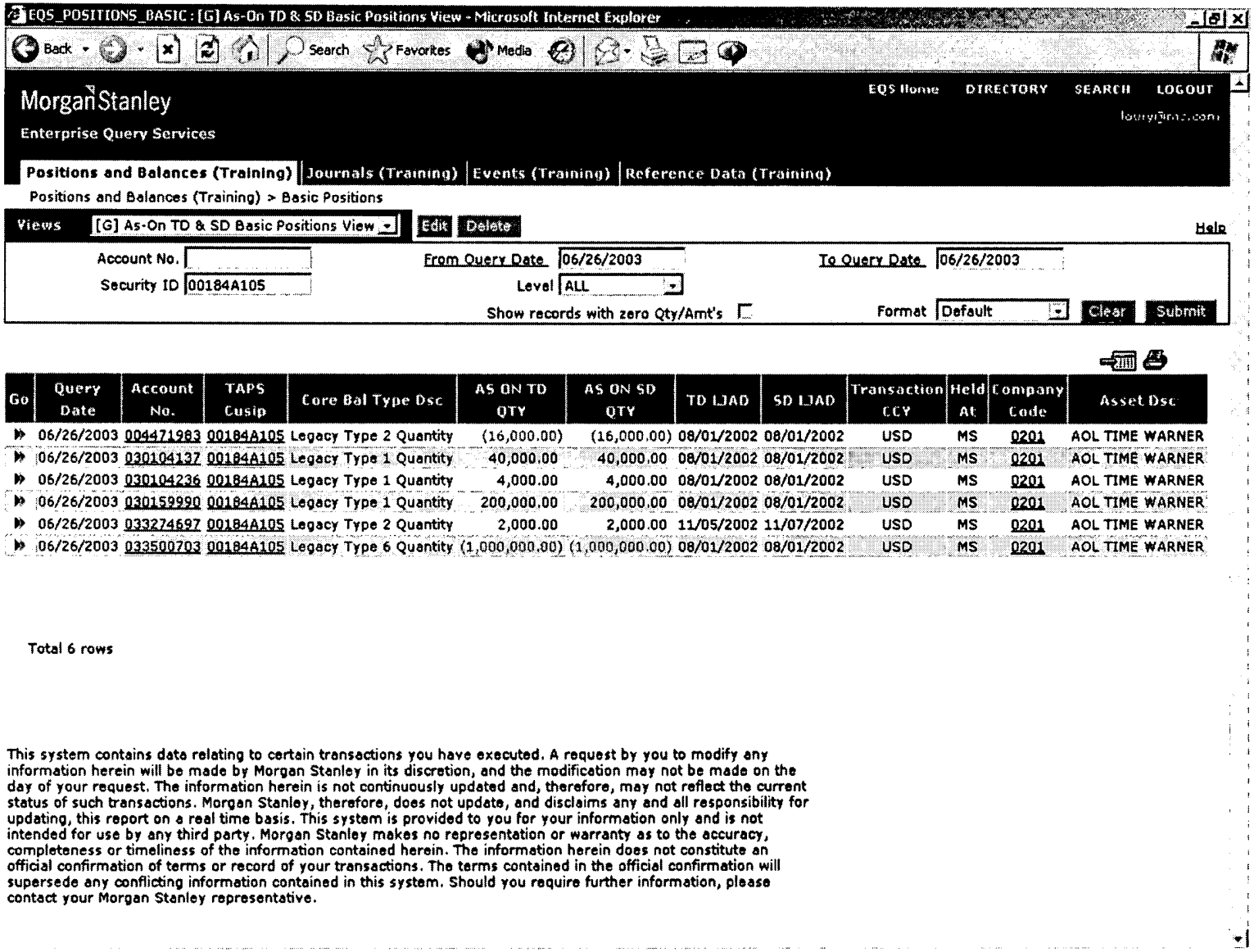
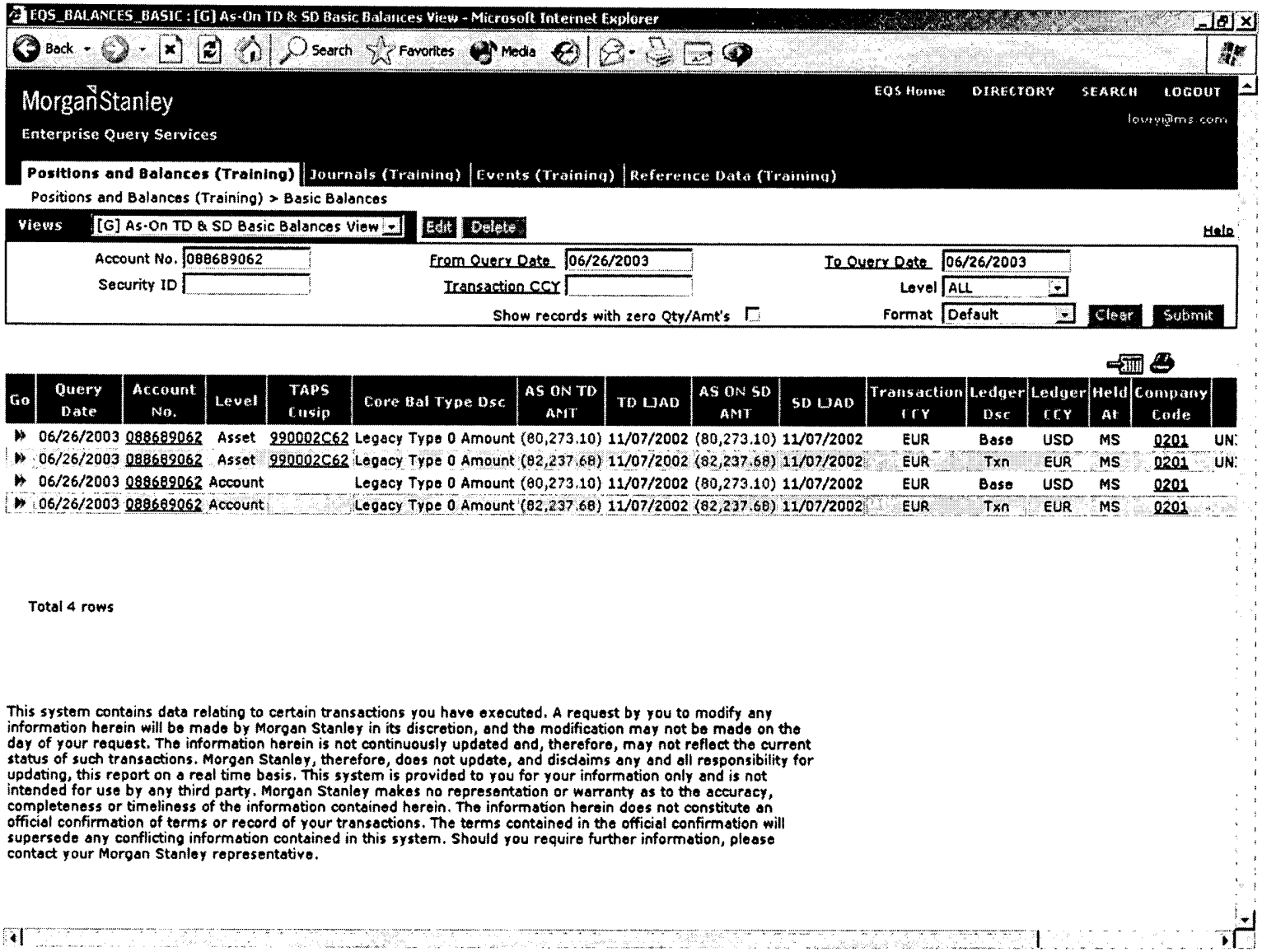
Fig. 7A



Go	Query Date	Account No.	TAPS Cusip	Core Bal Type Dsc	AS ON TD QTY	AS ON SD QTY	TD LJAD	SD LJAD	Transaction CCY	Held At	Company Code	Asset Dsc
»	06/26/2003	004471983	00184A105	Legacy Type 2 Quantity	(16,000.00)	(16,000.00)	08/01/2002	08/01/2002	USD	MS	0201	AOL TIME WARNER
»	06/26/2003	030104137	00184A105	Legacy Type 1 Quantity	40,000.00	40,000.00	08/01/2002	08/01/2002	USD	MS	0201	AOL TIME WARNER
»	06/26/2003	030104236	00184A105	Legacy Type 1 Quantity	4,000.00	4,000.00	08/01/2002	08/01/2002	USD	MS	0201	AOL TIME WARNER
»	06/26/2003	030159990	00184A105	Legacy Type 1 Quantity	200,000.00	200,000.00	08/01/2002	08/01/2002	USD	MS	0201	AOL TIME WARNER
»	06/26/2003	033274697	00184A105	Legacy Type 2 Quantity	2,000.00	2,000.00	11/05/2002	11/07/2002	USD	MS	0201	AOL TIME WARNER
»	06/26/2003	033500703	00184A105	Legacy Type 6 Quantity	(1,000,000.00)	(1,000,000.00)	08/01/2002	08/01/2002	USD	MS	0201	AOL TIME WARNER

Fig. 7B



Go	Query Date	Account No.	Level	TAPS Cusip	Core Bal Type Dsc	AS ON TD AMT	TD LJAD	AS ON SD AMT	SD LJAD	Transaction CCY	Ledger Dsc	Ledger CCY	Held At	Company Code	
	06/26/2003	088689062	Asset	990002C62	Legacy Type 0 Amount	(80,273.10)	11/07/2002	(80,273.10)	11/07/2002	EUR	Base	USD	MS	0201	UN
	06/26/2003	088689062	Asset	990002C62	Legacy Type 0 Amount	(82,237.68)	11/07/2002	(82,237.68)	11/07/2002	EUR	Txn	EUR	MS	0201	UN
	06/26/2003	088689062	Account		Legacy Type 0 Amount	(80,273.10)	11/07/2002	(80,273.10)	11/07/2002	EUR	Base	USD	MS	0201	
	06/26/2003	088689062	Account		Legacy Type 0 Amount	(82,237.68)	11/07/2002	(82,237.68)	11/07/2002	EUR	Txn	EUR	MS	0201	

Fig. 7C

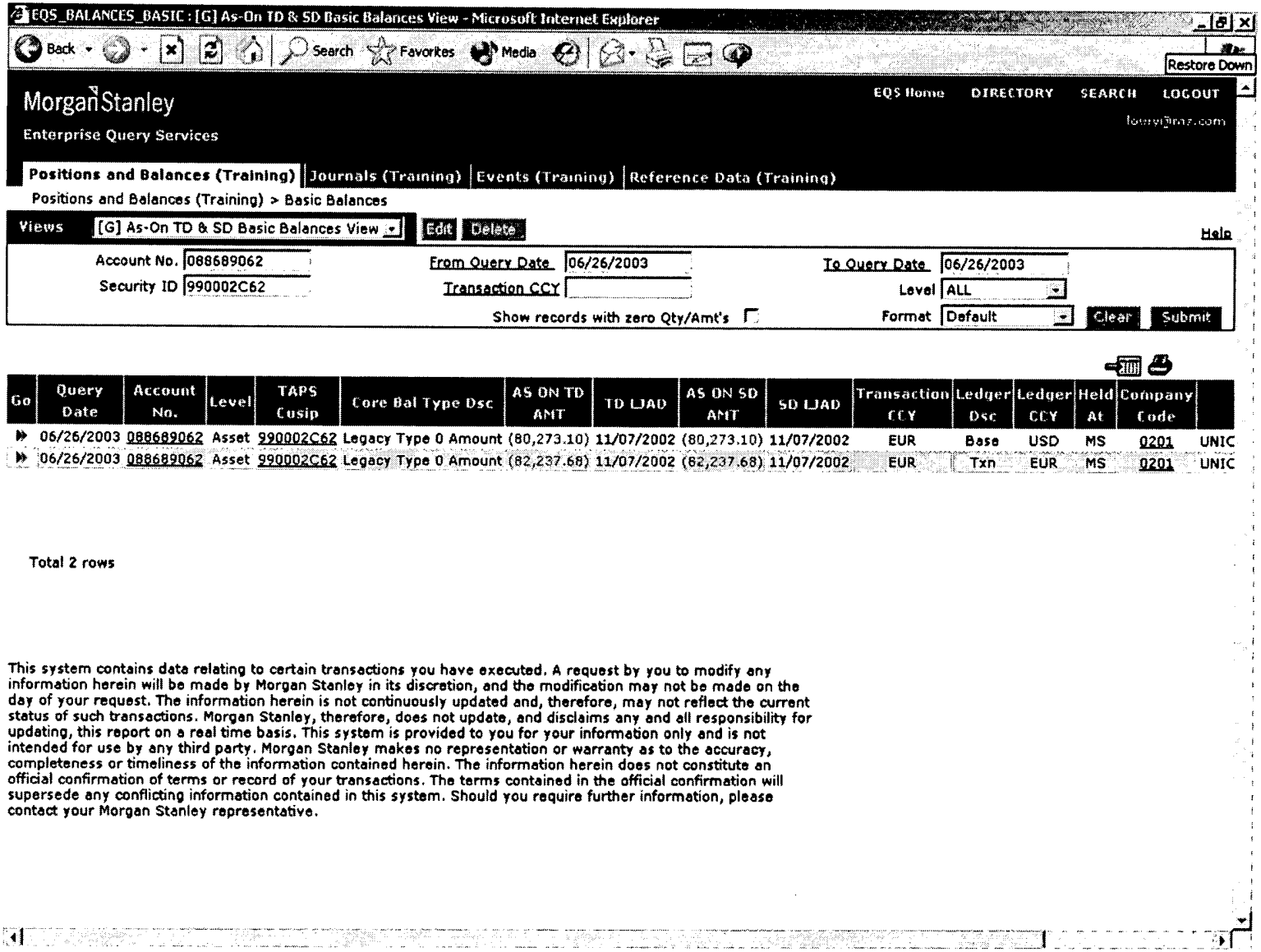

EQS_BALANCES_BASIC : [G] As-On TD & SD Basic Balances View - Microsoft Internet Explorer
Back
Search
Favorites
Media
Restore Down
Morgan Stanley
Enterprise Query Services
EQS Home
DIRECTORY
SEARCH
LOGOUT
Positions and Balances (Training)
Journals (Training)
Events (Training)
Reference Data (Training)
Positions and Balances (Training) > Basic Balances
Views
[G] As-On TD & SD Basic Balances View
Edit
Delete
Help
Account No. 088689062
Security ID 990002C62
From Query Date 06/26/2003
Transaction CCY
To Query Date 06/26/2003
Level ALL
Show records with zero Qty/Amt's
Format Default
Clear
Submit
Go | Query Date | Account No. | Level | TAPS Cusip | Core Bal Type Dsc | AS ON TD AMT | TD LJAD | AS ON SD AMT | SD LJAD | Transaction CCY | Ledger Dsc | Ledger CCY | Held At | Company Code
06/26/2003 088689062 Asset 990002C62 Legacy Type 0 Amount (80,273.10) 11/07/2002 (80,273.10) 11/07/2002 EUR Base USD MS 0201 UNIC
06/26/2003 088689062 Asset 990002C62 Legacy Type 0 Amount (82,237.68) 11/07/2002 (82,237.68) 11/07/2002 EUR Txn EUR MS 0201 UNIC
Total 2 rows
This system contains data relating to certain transactions you have executed. A request by you to modify any information herein will be made by Morgan Stanley in its discretion, and the modification may not be made on the day of your request. The information herein is not continuously updated and, therefore, may not reflect the current status of such transactions. Morgan Stanley, therefore, does not update, and disclaims any and all responsibility for updating, this report on a real time basis. This system is provided to you for your information only and is not intended for use by any third party. Morgan Stanley makes no representation or warranty as to the accuracy, completeness or timeliness of the information contained herein. The information herein does not constitute an official confirmation of terms or record of your transactions. The terms contained in the official confirmation will supersede any conflicting information contained in this system. Should you require further information, please contact your Morgan Stanley representative.

Fig. 7D